



## Distributed Systems Part II

### Solution to Exercise Sheet 1

### 1 An Asynchronous Riddle

- a) The crucial idea is to select one prisoner as a leader. The leader will turn the switch off, whenever he enters the room and the switch is on. All other prisoner will turn the switch on exactly once. So a prisoner who enters the room looks at the switch. If the switch is off and the prisoner has never turned it on before, he will turn the switch on. If the switch is already on or the prisoner already did turn the switch on during an earlier visit, he leaves the switch as it was. The leader counts how many times he turns the switch off. If the leader counted 99 times he can declare "We all visited the switch room at least once". Because he knows, that each of the other 99 prisoners has turned the switch on and he himself has been in the room as well.
- b) If the initial position of the switch is unknown, the above protocol cannot be used, since the leader may miscount by one. However, this can easily be fixed. If each prisoner turns the switch on exactly twice, the leader can be sure that everyone visited the room after counting up to  $2 \cdot 99 = 198$  turns.

### 2 Paxos Timeline

- a) The timeline consists of five concurrent nodes, and the time progresses from top to bottom. In Figure 1 you can see how both clients propose their values at first, but only the value of client *A* gets accepted. Notice that *A* has a 1-second-timeout and *B* has a 2-second-timeout, and both clients increase their internal ticket counter *t* by 2 every time they ask for a ticket. The protocol shows the following:
- $T_0 + 0.0$ : *A* sends a **ask**(1). As  $N_1$  and  $N_2$  have never stored a value, they reply with **ok**(0,  $\perp$ ).
  - $T_0 + 0.5$ : *B* sends a **ask**(2). As  $N_2$  and  $N_3$  have never stored a value, they reply with **ok**(0,  $\perp$ ).
  - $T_0 + 1.0$ : *A* sends a **propose**(1,22). This is acknowledged by  $N_1$  with **success** because its  $T_{\max} = 1$ .  $N_2$  does not reply as its value  $T_{\max} = 2$ .
  - $T_0 + 2.0$ : *A* sends a **ask**(3). As  $N_2$  has never stored a value it replies with **ok**(0,  $\perp$ ).  $N_1$  returns the latest stored value: **ok**(1,22).
  - $T_0 + 2.5$ : *B* sends a **propose**(2,33). This is acknowledged by  $N_3$  with **success**.  $N_2$  does not reply as its value  $T_{\max} = 3$ .
  - $T_0 + 3.0$ : *A* sends a **propose**(3,22). This is acknowledged by  $N_1$  and  $N_2$  with **success**.

- $T_0 + 4.0$ :  $A$  sends a `execute(22)`, since  $A$  now knows that a majority of the servers stores 22.  $A$  returns and terminates.
- $T_0 + 4.5$ :  $B$  sends a `ask(4)`.  $N_3$  sends back its latest accepted value `ok(2,33)`.  $N_2$  also sends back its latest accepted value `ok(3,22)`.
- $T_0 + 6.5$ :  $B$  sends a `propose(4,22)` ( $B$  took the newest value (with the highest ticket number)). Both clients  $N_2$  and  $N_3$  reply with a `success`. All servers have accepted the same value.
- $T_0 + 7.5$ :  $B$  sends a `execute(22)`, since  $B$  knows that a majority of the servers store 22 now.  $B$  returns and terminates. Now both clients and all servers store the same command.

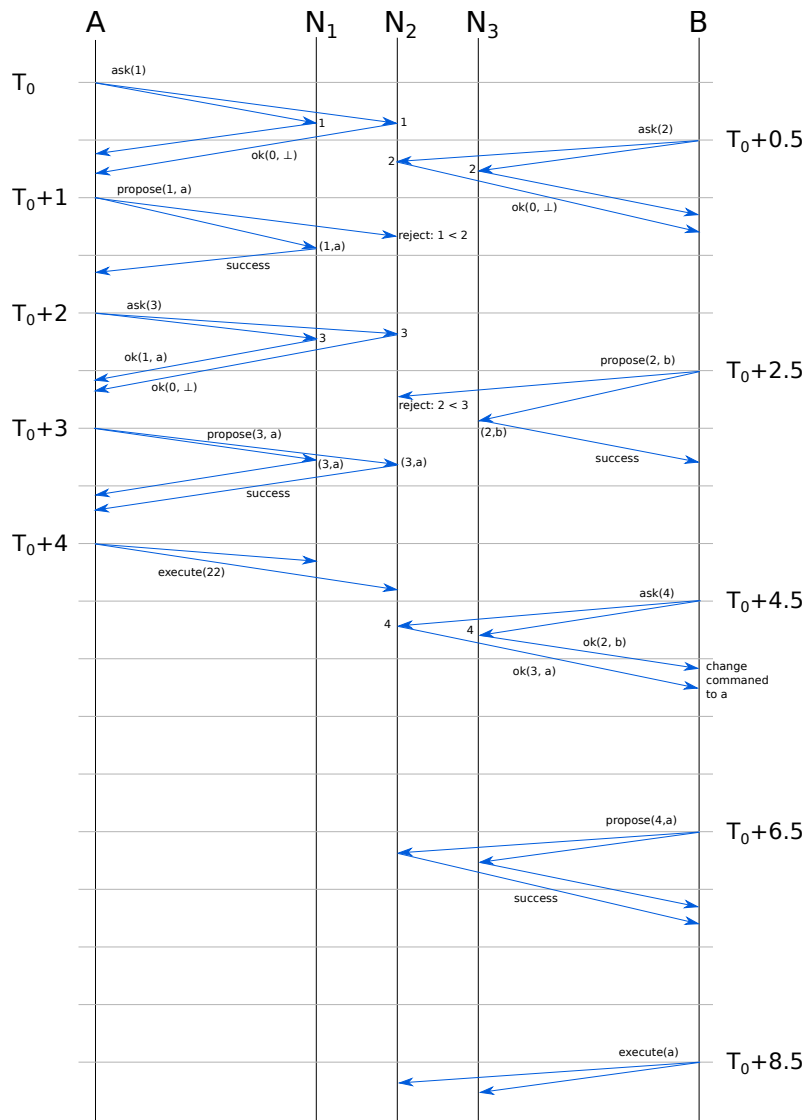


Figure 1: The timeline of the two clients running the given paxos-proposer-program with different timeout values. The values  $T_{\max}$  and the tuples  $(T_{\text{store}}, C)$  per server are denoted next to each server's line whenever they change.

- b) A possible worst-case scenario is when all clients start their attempt to execute a command (approximately) at the same time, use the same timeout and the same initial ticket number.

In that case it can happen that two clients always invalidate each others tickets, and no clients ever succeeds with finding a majority for its proposal messages.

**Remark:** Of course there can be a lucky schedule, where one client succeeds: For example, if all of its messages `ask(t)` are slow, and then all of its `propose(t,c)` are very fast and immediately get accepted. However, the probability that such an event occurs is rather small, and decreases with the number of servers involved.

### 3 Improving Paxos

- a) Different initial ticket numbers might not be beneficial at all. Let  $H$  be the client with the highest initial ticket number. Assume that  $H$  asks for a ticket  $h$ , and then crashes. In that case, all other clients receive `ack(h)` and will try ticket  $h + 1$  in the next round. Hence the ticket numbers of all clients will immediately be very close to each other again.

**Remark:** Different initial ticket numbers can lead to problems even if no machine crashes: For example, it is likely that the client with the highest initial ticket number will always execute its command, and others will experience starvation. In such a system, all users which are using a client with a low initial ticket number will rarely see any progress, and therefore the system as a whole becomes rather useless.

- b) We can use an *exponential backoff* approach, as it is used for example in 802.11 wireless networks.

We add a variable  $b$  (not to be confused with the command that  $B$  is supposed to send in exercise 2a) to our code, and possibly a limit  $b_{\max}$ . Every time an attempt to execute fails, the client doubles the value of  $b$ , until  $b = b_{\max}$ . At the start of every new execution, the client waits for  $w$  seconds, where  $w$  is chosen uniformly at random from  $[0, b]$ . After  $w$  seconds, it sends the next `ask` message and continues as before.

The modified algorithm is shown below. Changes are on Lines 1-4, 8 and 18. Note that Lines 2-4 are required for that start, when  $b = 0$ . (Without those lines,  $b = 2b$  would not increase the backoff time.)

#### Analysis

Assume that the first call of `suggestValue` is with a backoff time  $b = 0$ . Hence, if there is only a single client trying to execute a command, it will be immediately executed, i.e., there is no disadvantage by applying the backoff approach.

Assume that multiple clients try to execute a command. Recall that the time required for a successful run of `suggestValue` is  $2\delta$ . Hence, as soon as  $b > 2\delta$ , the probability that two clients interfere with each other diminishes rapidly.

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**Algorithm 1** Paxos proposer algorithm with timeouts and backoff

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```
/* Execute a command on the Paxos servers.
 *
 *  $N, N'$ : The Paxos servers to contact.
 *  $c$ : The command to execute.
 *  $\delta$ : The timeout between multiple attempts.
 *  $t$ : The first ticket number to try.
 *  $b$ : The backoff time to wait.
 *
 * Returns:  $c'$ , the command that was executed on the servers. Note that  $c'$  might be
 * another command than  $c$ , if another client already successfully executed a command.
 */
suggestValue(Node  $N$ , Node  $N'$ , command  $c$ , Timeout  $\delta$ , TicketNumber  $t$ , BackoffTime  $b$ ) {
1: Wait for rand(0, $b$ ) seconds
2: if  $b = 0$  then
3:    $b = b_{\min}$        $\triangleleft$  Set  $b$  to a value larger than 0, such that the doubling can start
4: end if
   Phase 1 .....
5: Ask  $N, N'$  for ticket  $t$ 
   Phase 2 .....
6: Wait for  $\delta$  seconds
7: if within these  $\delta$  seconds, either  $N$  or  $N'$  has not replied with ok then
8:   return suggestValue( $N, N', c, \delta, t + 2, \min(2b, b_{\max})$ )
9: else
10:  Pick ( $T_{\text{store}}, C$ ) with largest  $T_{\text{store}}$ 
11:  if  $T_{\text{store}} > 0$  then
12:     $c = C$ 
13:  end if
14:  Send propose( $t, c$ ) to  $N, N'$ 
15: end if
   Phase 3 .....
16: Wait for  $\delta$  seconds
17: if within these  $\delta$  seconds, either  $N$  or  $N'$  has not replied with success then
18:   return suggestValue( $N, N', c, \delta, t + 2, \min(2b, b_{\max})$ )
19: else
20:  Send execute( $c$ ) to every server
21:  return  $c$ 
22: end if
```

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