# Principles of Distributed Computing 

# Wireless Protocols 

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## Wireless Networks

## Very popular!

Biggest Advantage:
No wires ©
=> fast installation
=> cheaper
Biggest Disdvantage:
No wires ©
=> attenuation
=> interference
=> energy supply
Big Question
To send or not to send?


Radio Network Model

## send XOR receive

reach all other nodes

Radio Network Model
send XOR receive
reach all other nodes

But two or more simultaneous transmissions collide

## Today

## Leader Election

How long does it take until one node can transmit alone?

## Initialization

How to assign IDs $\{1,2, \ldots ., n\}$ ?

## Asynchronous Wakeup

How long for leader election if nodes wakeup up at arbitrary times?


## With and without collision detection....

## Def: X

$\mathbf{X}$ is the RV denoting the number of nodes transmitting in a given time slot

## Leader Election without CD: Slotted Aloha

## Slotted Aloha

 repeattransmit with probability $1 / n$
until one node has transmitted alone

Expected time complexity: e

$$
\operatorname{Pr}[X=1]=n \cdot \frac{1}{n} \cdot\left(1-\frac{1}{n}\right)^{n-1} \approx \frac{1}{e}
$$

But then, how can the leader know its role?
The nodes start sending the ID of the leader with $1 / n$
But how can the node that sent the leader ID

Distributed ACK

The leader sends an acknowledgement to this node.

## Leader Election without CD: Unslotted Aloha

## Slotted Aloha

## repeat

transmit with probability $1 / n$ until one node has transmitted alone

And without time slots?
$\Rightarrow$ Two partially overlapping messages collide
$\Rightarrow$ Probability for success drops to $1 /(2 e)$
Why? Each slot is divided into t small time slots, $\mathrm{t} \rightarrow \infty$, nodes start a new $t$-slot long transmision with probability $1 /(2 n t)$

## Non-Uniform Initialization without CD

## Repeated Aloha $\mathrm{i}=1$ <br> repeat

transmit with probability $1 / n$
if node $v$ transmitted alone, $v$ gets ID $i, i++, n--$ until all nodes have an ID

Each ID assignment takes expected time e
$\Rightarrow$ Total expected time $\mathrm{n}^{*} \mathrm{e}=\mathrm{O}(\mathrm{n})$
But:
Nodes need to known n!!!

## Uniform Initialization with CD

## Uniform Initialization

Subroutine Split(I)

## repeat

choose $r$ uniformly at random from $\{0,1\}$, join $\mathrm{P}_{1+r}$
in the next two time slots transmit in slot $r$ and listen in other slot until there was at least one transmission in both slots

Initialize()
$\mathrm{N}:=1 ; \mathrm{L}:=1$;
while $L \geq 1$ do
all nodes in $P_{L}$ transmit
if exactly one node $v$ has transmitted then
$\checkmark$ gets ID N and stops the protocol
N++; L--;
else
use Split(L) to partition $P_{L}$ into non-empty sets $P_{L}$ and $P_{L+1}$ L++
end while

## Uniform Initialization with CD

## Successful: <br> split into 2 non-empty subsets

```
Uniform Initialization
Subroutine Split(I)
repeat
    chooser uniformly at random from {0, 1}, join P}\mp@subsup{P}{1+r}{
    in the next two time slots transmit in slot r and listen in other slot
until there was at least one transmission in both slots
Initialize()
N:= 1; L:= 1;
while L\geq1 do
    all nodes in P}\mp@subsup{P}{\textrm{L}}{}\mathrm{ transmit
    if exactly one node v has transmitted then
        v gets ID N and stops the protocol, N++; L--;
    else
    use Split(L) to partition P}\mp@subsup{P}{\textrm{L}}{}\mathrm{ into non-empty sets }\mp@subsup{P}{\textrm{L}}{}\mathrm{ and }\mp@subsup{P}{\textrm{L}+1}{
    L++
end while
```


## Probability to create two

 non-empty subsets from a set of size k :$$
\operatorname{Pr}[1 \leq X \leq k-1]=1-\operatorname{Pr}[X=0]-\operatorname{Pr}[X=k]=1-\frac{1}{2^{k}}-\frac{1}{2^{k}} \geq \frac{1}{2}
$$

Thus we need time $\mathrm{O}(\mathrm{n})$ for $2 \mathrm{n}-1$ splits in expectation.
(with Chernoff whp)

## Uniform Initialization without CD

## Uniform Initialization (no CD)

1. Elect a leader
2. Divide every slot of the protocol with CD into two slots
a) In the first slot, the nodes S transmit according to the protocol
b) In the second slot, the nodes $S$ from a) and the leader transmit
3. Distinguish the cases according to the table noise / silence : $X$
successful transmission:

|  | nodes in $S$ transmit | nodes in $S \cup\{\ell\}$ transmit |
| :--- | :---: | :---: |
| $\|S\|=0$ | $\boldsymbol{x}$ | $\boldsymbol{\swarrow}$ |
| $\|S\|=1, S=\{\ell\}$ | $\boldsymbol{\checkmark}$ | $\boldsymbol{\swarrow}$ |
| $\|S\|=1, S \neq\{\ell\}$ | $\boldsymbol{\checkmark}$ | $\boldsymbol{x}$ |
| $\|S\| \geq 2$ | $\boldsymbol{x}$ | $\boldsymbol{x}$ |

## Overhead: factor 2

More generally, a leader brings CD to any protocol

## Leader Election With High Probability

## Def: whp

An event happens with high probability if it occurs with $p \geq 1-1 / n^{\wedge} c$ for some constant $c$.

## Slotted Aloha

repeat
transmit with probability $1 / n$
until one node has transmitted alone

The probability of not electing a leader after $\mathrm{c}^{*} \log \mathrm{n}$ time slots of Slotted Aloha is

$$
\left(1-\frac{1}{e}\right)^{c \ln n}=\left(1-\frac{1}{e}\right)^{e \cdot c^{\prime} \ln n} \leq \frac{1}{e^{\ln n \cdot c^{\prime}}}=\frac{1}{n^{c^{\prime}}}
$$

## Uniform Leader Election (no CD)

## Decrease Prob <br> for $k=1,2,3, \ldots$ do <br> for $i=1$ to $c k$ do <br> transmit with probability $p:=1 / 2^{k}$ <br> At the beginning: $p$ too high and many collisions <br> if node $v$ was the only node which transmitted then <br> $v$ becomes the leader <br> break <br> end if <br> end for <br> end for

When $k \approx \log n$, then $p \approx 1 / n \ldots$
and we have a leader whp when $\mathrm{i}=\mathrm{O}(\log \mathrm{n})$ (see previous slide)
$\Rightarrow$ Time complexity $\mathrm{O}\left(\log \mathrm{n}^{*} \log \mathrm{n}\right)=\mathrm{O}\left(\log ^{\wedge} 2 \mathrm{n}\right)$

## Uniform Leader Election (with CD)

## Transmit or keep silent repeat <br> transmit with probability $\frac{1}{2}$ <br> if at least one node transmitted then <br> all nodes that did not transmit quit the protocol end if <br> until one node transmits alone

\# active nodes decreases monotonically, but always $\geq 1$.
Successful round (SR): at most half of active nodes transmit
Assume $\mathrm{k} \geq 2$ (otherwise we have elected a leader), then prob of SR:

$$
\operatorname{Pr}\left[1 \leq X \leq\left\lceil\frac{k}{2}\right\rceil\right] \geq \frac{1}{2}-\operatorname{Pr}[X=0]=\frac{1}{2}-\frac{1}{2^{k}} \geq \frac{1}{4} .
$$

O(log $n$ ) SR for leader election. With Chernoff we can prove whp.

## Faster Uniform Leader Election (with CD)

## Guess, guess, walk

1. Get raw estimate of $n, i \approx(1 \pm 1 / 2) \log n$
2. Get better estimate with binary search, $j \approx \log n \pm \log \log n$
3. Do a biased random walk, $\mathrm{k} \approx \log \mathrm{n} \pm 2$
```
i:=1
repeat
    i:=2 - i
    transmit with probability 1/2 2
until no node transmitted
```

| $u:=2^{i} \quad l:=2^{i-2}$ |
| :--- |
| while $l+1<u$ do |
| $j:=\left\lceil\frac{l+u}{2}\right\rceil$ |
| transmit with probability $1 / 2^{j}$ |
| if no node transmitted then |
| $u:=j$ |
| else |
| $\quad l:=j$ |


| $k:=u$ |
| :--- |
| repeat |
| transmit with probability $1 / 2^{k}$ |
| if no node transmitted then |
| $\quad k:=k-1$ |
| else |
| $\quad k:=k+1$ |
| end if |
| until exactly one node transmitted |

If $j>\log n+\log \log n$, then $\operatorname{Pr}[X>1] \leq \frac{1}{\log n}$.
If $j<\log n-\log \log n$, then $P[X=0] \leq \frac{1}{n}$.
If $i>2 \log n$, then $\operatorname{Pr}[X>1] \leq \frac{1}{\log n}$.
If $i<\frac{1}{2} \log n$, then $P[X=0] \leq \frac{1}{n}$.
$\Rightarrow$ Time for Phase 1: $O(\log \log n)$ with probability $>1-1 / \log n$
$\Rightarrow$ Time for Phase 2: $\mathrm{O}(\log \log n)$ with probability $>1-1 / \log n$

## Faster Uniform Leader Election (with CD)

## Guess, guess, walk

$|$| $i:=1$ <br> repeat <br> $i:=2 \cdot i$ |
| :--- |
| $\quad$ transmit with probability $1 / 2^{i}$ |
| until no node transmitted |


| $u:=2^{i} \quad l:=2^{i-2}$ |
| :--- |
| while $l+1<u$ do |
| $j:=\left\lceil\frac{l+u}{2}\right\rceil$ |
| transmit with probability $1 / 2^{j}$ |
| if no node transmitted then |
| $u:=j$ |
| else |
| $\quad l:=j$ |

$k:=u$
repeat
transmit with probability $1 / 2^{k}$
if no node transmitted then $k:=k-1$
else
3.
$k:=k+1$
end if
until exactly one node transmitted
$i \approx(1 \pm 1 / 2) \log n \quad j \approx \log n \pm \log \log n \quad k \approx \log n \pm 2$
Let $v$ be such that $2^{v-1}<n \leq 2^{v}$, i.e., $v \approx \log n$. If $k>v+2$, then $\operatorname{Pr}[X>1]<\frac{1}{1}$.
If $k<v-2$, then $P[X=0] \leq \frac{1}{4}$.
If $v-2 \leq k \leq v+2$, then $\mathrm{P}[\mathrm{X}=1]$ is constant

$\Rightarrow$ Time for Phase 3: $\mathrm{O}(\log \log n$ ) with probability $>1-1 / \log n$ (Chernoff)
$\Rightarrow$ Total time: $\mathrm{O}(\log \log n)$ with probability $>1-\log \log n / \log n$ (union bound to keep error probability low)

## Even Faster Uniform Leader Election?

## - Leader Election Lower Bound

Any uniform protocol with election probability of at least $1-1 / 2^{\wedge} t$ must run for at least $t$ time slots.

For 2 nodes, the probability that exactly one transmits is at most $P[X=1]=2 p(1-p) \leq 1 / 2$.

Thus after time $t$ the election probability is at most $1-1 / 2^{\wedge} \mathrm{t}$.
If a network with more than 2 nodes could find a leader quicker of with higher probability then so could 2 nodes.

Leader Election with Asynchronous Wakeup?

## Wakeup Lower Bound

Any uniform protocol has time complexity $\Omega(\mathrm{n} / \log \mathrm{n})$ for leader election whp if nodes wake up arbitrarily.

Uniform => all nodes executed the same code At some point the nodes must transmit.

## Whp unsuccessful

First transmission at time $t$, with probability $p$, independent of $n$ Adversary wakes up $w=\frac{c}{p} \ln n$ nodes in each time slot
$\operatorname{Pr}[E 1]=\mathrm{P}[\mathrm{X}=1$ at time t$]<\frac{1}{n^{c-1}}=\frac{1}{n^{c^{c}}}$.
$P[X!=1$ at time $t$ and the following $n / w$ time slots]

$$
=\left(1-\operatorname{Pr}\left(E_{1}\right)\right)^{n / w}>\left(1-\frac{1}{n^{c^{\prime}}}\right)^{\Theta(n / \log n)}>1-\frac{1}{n^{c^{\prime \prime}}}
$$

## Summary

## Leader Election

How long does it take until one node can transmit alone?

- e in expectation, knowing n
- O(log n) whp, without knowing n, no CD
- O(log $\log n)$ without knowing n, with CD,
 with probability $1-\log \log n / \log n$
- 1-1/log $n$ election probability lower bound for $O(\log \log n)$ time


## Initialization

How to assign IDs $\{1,2, \ldots ., n\}$ ?

- O(n) with SplitInitialize (whp with Chernoff)


## Asynchronous Wakeup

 How long for leader election if nodes wakeup up at arbitrary times?- $\Omega(\mathrm{n} / \log \mathrm{n})$ without IDs and without knowing n

