

## Exercise 4

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## 1 Problem 1, Lower Bound for Locally-Minimal Coloring

For a graph  $G = (V, E)$ , a coloring  $\phi : V \rightarrow \{1, 2, \dots, Q\}$  is called *locally-minimal* if it is a proper coloring, meaning that no two adjacent vertices  $v$  and  $u$  have  $\phi(v) = \phi(u)$ , and moreover, for each node  $v$  colored with color  $q = \phi(v) \in \{1, 2, \dots, Q\}$ , all colors 1 to  $q - 1$  are used in the neighborhood of  $v$ . That is, for each  $i \in \{1, \dots, q - 1\}$ , there exists a neighbor  $u$  of  $v$  such that  $\phi(u) = i$ .

## Exercise

- (1a) In the 3<sup>rd</sup> lecture, we saw a  $O(\Delta \log \Delta + \log^* n)$ -round algorithm for computing a  $(\Delta + 1)$ -vertex-coloring in any  $n$ -node graph with maximum degree  $\Delta$ . Use this algorithm to compute a *locally-minimal coloring* in  $O(\Delta \log \Delta + \log^* n)$  rounds, in any  $n$ -node graph with maximum degree  $\Delta$ .

Compute a  $(\Delta + 1)$ -coloring, which will be used as a schedule-color. Process the colors of the schedule-color one by one, in  $\Delta + 1$  iterations, each time picking a locally-minimal color for all nodes with schedule-color  $i \in \{1, 2, \dots, \Delta + 1\}$ .

In the remainder of this exercise, we prove a lower bound of  $\Omega(\log n / \log \log n)$  on the round complexity of computing a *locally-minimal coloring*, for some graphs. We note that these graphs have maximum degree  $\Delta = \Omega(\log n)$  and hence, this lower bound poses no contradiction with (1a).

For the lower bound, we will use a classic graph-theoretic result of Erdős [?]. Recall that the girth of a graph is the length of its shortest cycle, and the chromatic number of a graph is the smallest number of colors required in any proper coloring of the graph.

**Theorem 1 (Erdős [?])** *For any sufficiently large  $n$ , there exists an  $n$ -node graph  $G_n^*$  with girth  $g(G_n^*) \geq \frac{\log n}{4 \log \log n}$  and chromatic number  $\chi(G_n^*) \geq \frac{\log n}{4 \log \log n}$ .*

## Exercise

- (1b) Prove that in any locally-minimal coloring  $\phi : V \rightarrow \{1, 2, \dots, Q\}$  of a tree  $T = (V, E)$  with diameter  $d$  — i.e., where the distance between any two nodes is at most  $d$  — no node  $v$  can receive a color  $\phi(v) > d + 1$ .

Suppose for the sake of contradiction that a node  $v_0$  receives a color  $k \geq d + 2$ . Then,  $v_0$  must have a neighbor  $v_1$  who has color  $k - 1$ . Similarly,  $v_1$  must have a neighbor  $v_2$  who has color  $k - 2$ . Continuing this process, we create a simple path  $v_0, v_1, v_2, \dots$  of length  $k - 1$  whose vertices have colors  $k, k - 1, k - 2, \dots$ , respectively. In a tree, any simple path between two vertices is a shortest path between them. Hence, the tree has two nodes at distance  $k - 1 \geq d + 1$ , which is a contradiction.

- (1c) Suppose towards contradiction that there exists a deterministic algorithm  $\mathcal{A}$  that computes a locally-minimal coloring of any  $n$ -node graph in at most  $\frac{\log n}{8 \log \log n} - 1$  rounds. Prove that when we run  $\mathcal{A}$  on the graph  $G_n^*$ , it produces a (locally-minimal) coloring with at most  $Q = \frac{\log n}{4 \log \log n} - 1$  colors. For this, you should use part (1b) and the fact that  $G_n^*$  has girth  $g(G_n^*) \geq \frac{\log n}{4 \log \log n}$ .

Consider running  $\mathcal{A}$  on  $G_n^*$ . We claim that no node  $v \in G_n^*$  can receive a color  $k \geq \frac{\log n}{4 \log \log n}$ . The reason is as follows. Now imagine running  $\mathcal{A}$  on the subgraph  $G_v$  of  $G_n^*$  induced by nodes within distance  $\frac{\log n}{8 \log \log n} - 1$  of  $v$ . The algorithm  $\mathcal{A}$  must assign the same color  $k$  to  $v$ , as when  $\mathcal{A}$  is run on  $G_n^*$  (why?). However,  $G_v$  is a tree with diameter at most  $\frac{\log n}{4 \log \log n} - 2$  (why?). Hence, by the property proven in (1b), in any valid locally-minimal coloring, the highest color that node  $v$  can receive is at most  $Q = \frac{\log n}{4 \log \log n} - 2 + 1$ .

(1d) Conclude that any locally-minimal coloring algorithm needs at least  $\frac{\log n}{8 \log \log n}$  rounds on some  $n$ -node graph.

By (1c), if  $\mathcal{A}$  always runs in at most  $\frac{\log n}{8 \log \log n} - 1$  rounds, it produces a coloring of  $G_n^*$  where each node is colored with a color in  $1, 2, \dots, Q$  for  $Q = \frac{\log n}{4 \log \log n} - 1$ . This is in contradiction with  $G_n^*$  having chromatic number  $\chi(G_n^*) \geq \frac{\log n}{4 \log \log n}$ . Having arrived at the conclusion by assuming that  $\mathcal{A}$  always runs in at most  $\frac{\log n}{8 \log \log n} - 1$  rounds in any  $n$ -node graph, we conclude that algorithm  $\mathcal{A}$  needs at least  $\frac{\log n}{8 \log \log n}$  rounds on some  $n$ -node graph.