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## **Principles of Distributed Computing Exercise 4: Sample Solution**

## 1 Deterministic Maximal Independent Set

a) Consider the graph consisting of a connected chain of k nodes  $v_1, \ldots, v_k$ . We add i-1 additional edges leading to i-1 additional nodes at each node  $v_i$  for all  $i \in \{1, \ldots, k-1\}$  and k additional nodes and edges to node  $v_k$ . The degree  $\delta(v_1)$  of  $v_1$  is 1 and for all other nodes  $v_i \in \{2, \ldots, k\}$ we have that  $\delta(v_i) = i + 1$ . All additional nodes have degree 1.

In the first round, all nodes except  $v_k$  have a neighbor with a larger degree, thus only  $v_k$  joins the MIS. Afterwards,  $v_{k-1}$  can decide, then  $v_{k-2}$  and so on. Thus, after k time all nodes  $v_1, \ldots, v_k$ and also the additional nodes have decided to join or not to join the MIS.

The number of nodes in this graph is

$$n = k + \sum_{i=1}^{k} (i-1) + 1 = 1 + \sum_{i=1}^{k} i = 1 + \frac{k(k+1)}{2} < \frac{(k+1)^2}{2}.$$

The time complexity is thus  $k \ge \sqrt{2n} - 1 \in \Omega(\sqrt{n})$ .

b) We first show that the above lower bound for trees is tight. Assume that there is a node  $v_0$  of degree  $\delta(v_0)$  which is still undecided at time  $2\sqrt{n}$ . Since  $v_0$  is undecided, it must have a neighbor  $v_1$  with higher degree, i.e.,  $\delta(v_0) < \delta(v_1)$ , which was still undecided at time  $2\sqrt{n} - 1$ . As  $v_1$  was undecided, it must in turn have a neighbor  $v_2$  with a higher degree that was undecided at time  $2\sqrt{n}-2$ . By induction it follows that there must be a chain of nodes  $v_0,\ldots,v_{2\sqrt{n}}$ , such that nodes  $v_i$  and  $v_{i+1}$  are neighbors and  $\delta(v_i) < \delta(v_{i+1})$ .

Note that for every neighbor x of a node  $v_i$  (assuming that x is not a node from the chain  $v_0, \ldots, v_{2,\sqrt{n}}$ , that x cannot be a neighbor of another node  $v_i$   $(i \neq j)$ , as otherwise there would be cycle and the graph would not be a tree. Analogously, a node  $v_i$  can only be connected to  $v_{i-1}$  and  $v_{i+1}$  and not to any other node  $v_i$ . Therefore, we can bound the total number of nodes in the tree using degree of the nodes of the chain: For every node  $v_i$ , there must be at least  $\delta(v_i) - 2$  nodes that are only a neighbor of  $v_i$ . In addition, there are the  $2\sqrt{n}$  nodes on the chain  $v_i$  themselves. Thus, the total number of nodes must be at least  $2\sqrt{n} + \sum_{i=0}^{2\sqrt{n}} \delta(v_i) - 2$ .

To establish a lower bound on the number of nodes required for this tree, we want to minimize this sum, which we can achieve by choosing the degrees as small as possible. Observe that the smallest degree  $\delta(v_{2\sqrt{n}})$  must be 1, as it is connected to the chain. Since the degrees must be increasing, it follows that  $\delta(v_{i-1}) = \delta(v_i) + 1$ . Therefore

$$2\sqrt{n} + \sum_{i=0}^{2\sqrt{n}} \delta(v_i) - 2 = \sum_{i=0}^{2\sqrt{n}} \delta(v_i) - 1$$
  
= 
$$\sum_{i=0}^{2\sqrt{n}} (i+1) - 1$$
  
= 
$$\sum_{i=0}^{2\sqrt{n}} i$$
  
= 
$$\frac{2\sqrt{n}(2\sqrt{n}+1)}{2}$$
  
= 
$$2n + \sqrt{n}$$
  
> 
$$2n$$

As we initially assumed that we have a graph with n nodes, and we now showed that for a runtime of  $2\sqrt{n}$  we require more than 2n nodes, we reached a contradiction.

To construct a lower bound for general graphs we now consider a ring of k nodes  $v_1, \ldots, v_k$  instead of a chain. We use k-1 additional nodes  $u_1, \ldots, u_{k-1}$  to increase the degrees of the nodes  $v_i$ : There is an edge  $\{v_i, u_j\}$  from all nodes  $v_i$  to all nodes  $u_j$  for which  $j \in \{1, \ldots, k-i\}$ . It is easy to see that the degree  $\delta(v_i)$  of node  $v_i$  is k+2-i, and that  $\delta(u_j) = k-j$ .

In the first round, only  $v_1$  joins the MIS. This means that all nodes  $u_1, \ldots, u_{k-1}$  and also  $v_2$ and  $v_k$  can no longer join the MIS. Thus, in the second round, all these nodes broadcast to all their neighbors that they will not join the MIS. In the third round, only  $v_3$  decides to join the MIS because all other undecided nodes have an undecided neighbor with a larger degree. Subsequently, only  $v_4$  decides (not to join the MIS) in round 4. Repeating this argument, we get that the last node  $v_{k-1}$  makes its decision not before round k-1. Since n = k + (k-1), the time complexity is thus  $k - 1 = \frac{n-1}{2} \in \Omega(n)$ .

## 2 (Local) Reductions

a) We use Algorithm 36, which creates a coloring with the help of a MIS. As this algorithm would give us a node coloring and not an edge coloring, we preprocess the graph; i.e., we create the *line graph*. The line graph L(G) = (E, F) has the edges from G as nodes, and has an edge between two nodes (edges of G) iff the edges share a node in G (we call these edges *adjacent*). Formally:  $F = \{\{e, f\} \in \binom{E}{2} \mid e \cap f \neq \emptyset\}$ . By construction of the line graph, it follows that a node coloring in the line graph L(G) corresponds to an edge coloring in G. Observe that the number of nodes in L(G) is in  $O(n^2)$ , and that the maximum degree in L(G) is at most  $2(\Delta - 1)$  (an edge may be adjacent to at most  $\Delta - 1$  other edges at each of its nodes in G). Thus, the algorithm needs  $O(\log(n^2)) = O(\log n)$  time w.h.p. and produces a coloring with at most  $2(\Delta - 1) + 1 = 2\Delta - 1$  colors.

Let us now briefly discuss some details involved in the construction. The line graph can be simulated locally, where nodes of the line graph (i.e., edges of G) are simulated by one of their incident nodes. The nodes simulating adjacent edges are connected by them and therefore at most 2 hops away from each other. Thus, two rounds and (at most) two messages are required to simulate one round of communication and one message on the line graph, respectively. Hence, the time complexity is doubled, but still in  $O(\log n)$ .

If we do not have edge orientations or identifiers, the decision which of the nodes plays the part of the edge can, e.g., be made w.h.p. in a single round by exchanging random bit strings of size  $O(\log n)$  between neighbors.

b) First, we 3-color the ring by means of Algorithm Six-2-Three (or its uniform variant from the first exercise sheet). Next, all nodes with color 0 join the dominating set and inform their neighbors. Then, all nodes with color 1 having no neighbor of color 0 join the set and inform their neighbors. Finally, still uncovered nodes with color 2 join the dominating set.

Obviously, the resulting set is a dominating set and the algorithm has a time complexity of  $O(\log^* n)$ . However, the constructed set is also a (maximal) independent set, as no two neighbors join. An independent set in a ring cannot have more than n/2 nodes, while a dominating set must contain at least n/3 nodes (each node covers itself and its two neighbors). In other words, the computed set is at most a factor of 3/2 larger than any dominating set and hence also than a minimum dominating set.

c) Again we use one of the fast MIS algorithms to compute a maximal independent set I within  $O(\log n)$  time. Observe that I is also a dominating set, due to the maximality of I; if there was a node that was not dominated, i.e., it is not in I and has no neighbor in I, this node would also be independent of I, which contradicts the assumption that I was a maximal independent set. Thus, we only need to show that I is at most C times larger than a minimum dominating set M.

To prove this, consider a node  $v \in I$ . Since M is a dominating set, there must be at least one node in  $(N(v) \cup \{v\}) \cap M$ , i.e., a node from the optimal solution is in v's neighborhood. For each  $v \in I$ , we count such a node. Because the graph is of bounded independence, no node  $m \in M$  is counted more than C times, because there cannot be more than that many independent neighbors of m. Therefore,  $|I| \leq C|M|$ .