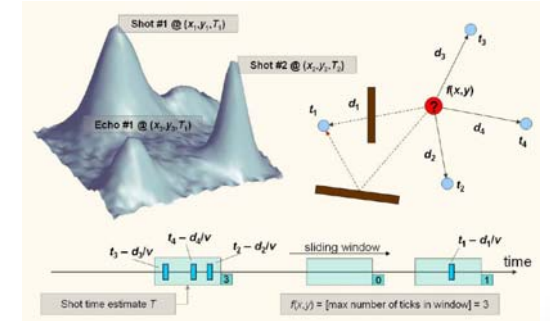


# Clock Synchronization

## Chapter 9

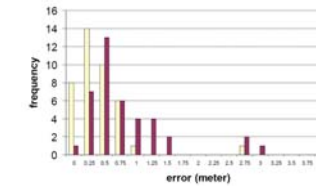


## Acoustic Detection (Shooter Detection)



- Sound travels much slower than radio signal (331 m/s)
- This allows for quite accurate distance estimation (cm)
- Main challenge is to deal with reflections and multiple events

Shooter detection error



## Rating

- Area maturity

First steps

Text book

- Practical importance

No apps

Mission critical

- Theoretical importance

Not really

Must have

## Overview

- Motivation
- Clock Sources
- Reference-Broadcast Synchronization (RBS)
- Time-sync Protocol for Sensor Networks (TPSN)
- Gradient Clock Synchronization

## Motivation

- Synchronizing time is essential for **many applications**
  - Coordination of wake-up and sleeping times (energy efficiency)
  - TDMA schedules
  - Ordering of collected sensor data/events
  - Co-operation of multiple sensor nodes
  - Estimation of position information (e.g. shooter detection)
- Goals of clock synchronization
  - Compensate *offset*\* between clocks
  - Compensate *drift*\* between clocks

\*terms are explained on following slides



## Properties of Clock Synchronization Algorithms

- External versus internal synchronization
  - External sync: Nodes synchronize with an external clock source (UTC)
  - Internal sync: Nodes synchronize to a common time
    - to a leader, to an averaged time, or to anything else
- One-shot versus continuous synchronization
  - Periodic synchronization required to compensate clock drift
- A-priori versus a-posteriori
  - A-posteriori clock synchronization triggered by an event
- Global versus local synchronization (explained later)
- Accuracy versus convergence time, Byzantine nodes, ...



## Clock Sources

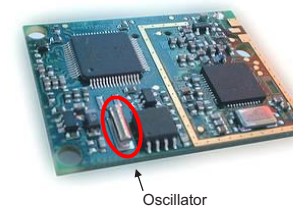
- Radio Clock Signal:
  - Clock signal from a reference source (atomic clock) is transmitted over a long wave radio signal
  - DCF77 station near Frankfurt, Germany transmits at 77.5 kHz with a transmission range of up to 2000 km
  - Accuracy limited by the distance to the sender, Frankfurt-Zurich is about **1ms**.
  - Special antenna/receiver hardware required
- Global Positioning System (GPS):
  - Satellites continuously transmit own position and time code
  - Line of sight between satellite and receiver required
  - Special antenna/receiver hardware required



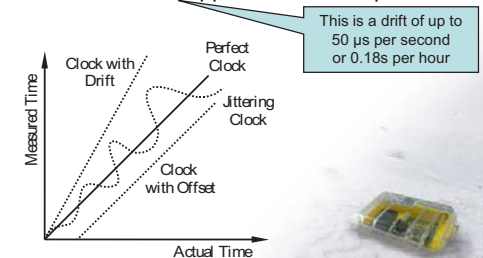
## Clock Devices in Sensor Nodes

Platform	System clock	Crystal oscillator
Mica2	7.37 MHz	32 kHz, 7.37 MHz
TinyNode 584	8 MHz	32 kHz
Tmote Sky	8 MHz	32 kHz

- Structure
  - External oscillator with a nominal frequency (e.g. 32 kHz)
  - Counter register which is incremented with oscillator pulses
  - Works also when CPU is in sleep state
- Accuracy
  - Clock drift: random deviation from the nominal rate dependent on power supply, temperature, etc.
  - E.g. TinyNodes have a maximum drift of 30-50 ppm at room temperature

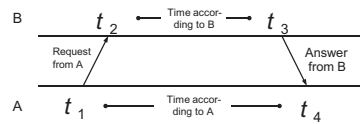


Oscillator



## Sender/Receiver Synchronization

- Round-Trip Time (RTT) based synchronization



- Receiver synchronizes to the sender's clock
- Propagation delay  $\delta$  and clock offset  $\theta$  can be calculated

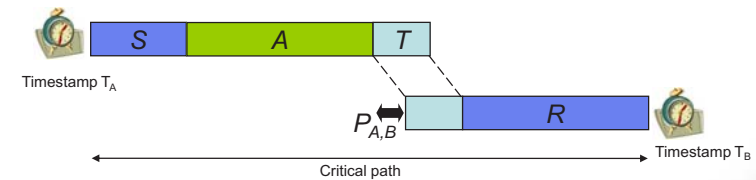
$$\delta = \frac{(t_4 - t_1) - (t_3 - t_2)}{2}$$

$$\theta = \frac{(t_2 - (t_1 + \delta)) - (t_4 - (t_3 + \delta))}{2} = \frac{(t_2 - t_1) + (t_3 - t_4)}{2}$$



## Disturbing Influences on Packet Latency

- Influences
  - Sending Time  $S$  (up to 100ms)
  - Medium Access Time  $A$  (up to 500ms)
  - Transmission Time  $T$  (tens of milliseconds, depending on size)
  - Propagation Time  $P_{A,B}$  (microseconds, depending on distance)
  - Reception Time  $R$  (up to 100ms)

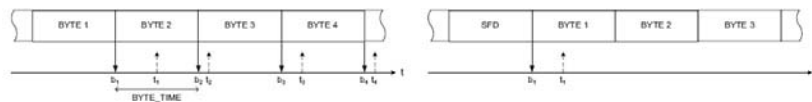


- Asymmetric packet delays due to *non-determinism*
- Solution: timestamp packets at MAC Layer

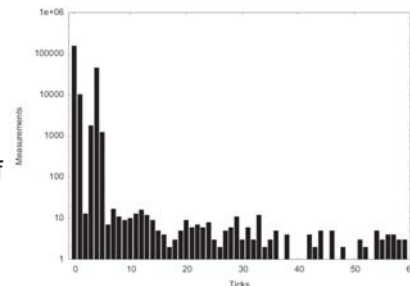


## Some Details

- Different radio chips use different paradigms:
  - Left is a CC1000 radio chip which generates an interrupt with each byte.
  - Right is a CC2420 radio chip that generates a single interrupt for the packet after the start frame delimiter is received.

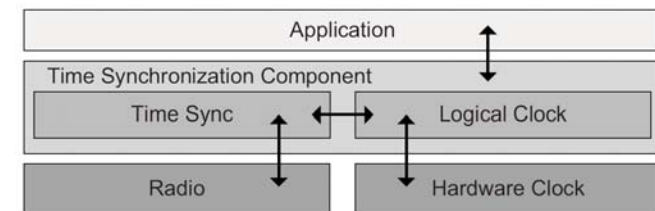


- In sensor networks propagation can be ignored ( $<1\mu s$  for 300m).
- Still there is quite some variance in transmission delay because of latencies in **interrupt handling** (picture right).



## General Framework

- The clock synchronization framework must provide the abstraction of a correct logical time to the application. This logical time is based on the (inaccurate) hardware clock, and calibrated by exchanging messages with other nodes in the network.



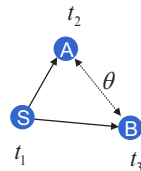
## Reference-Broadcast Synchronization (RBS)

- A sender synchronizes a set of receivers with one another
- Point of reference: beacon's arrival time

$$t_2 = t_1 + S_S + A_S + P_{S,A} + R_A$$

$$t_3 = t_1 + S_S + A_S + P_{S,B} + R_B$$

$$\theta = t_2 - t_3 = (P_{S,A} - P_{S,B}) + (R_A - R_B)$$



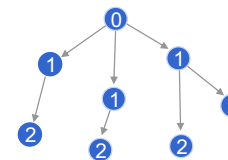
- Only sensitive to the **difference** in propagation and reception time
- Time stamping at the interrupt time when a beacon is received
- After a beacon is sent, all receivers exchange their reception times to calculate their clock offset

- **Post-synchronization** possible
- E.g., least-square linear regression to tackle clock drifts
- Multi-hop?



## Time-sync Protocol for Sensor Networks (TPSN)

- Traditional sender-receiver synchronization (RTT-based)
- **Initialization phase: Breadth-first-search flooding**
  - Root node at level 0 sends out a *level discovery* packet
  - Receiving nodes which have not yet an assigned level set their **level** to +1 and start a random timer
  - After the timer is expired, a new level discovery packet will be sent
  - When a new node is deployed, it sends out a *level request* packet after a random timeout

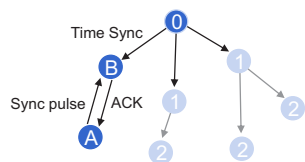


Why this random timer?



## Time-sync Protocol for Sensor Networks (TPSN)

- **Synchronization phase**
  - Root node issues a *time sync* packet which triggers a random timer at all level 1 nodes
  - After the timer is expired, the node asks its parent for synchronization using a *synchronization pulse*
  - The parent node answers with an *acknowledgement*
  - Thus, the requesting node knows the round trip time and can calculate its clock offset
  - Child nodes receiving a synchronization pulse also start a random timer themselves to trigger their own synchronization

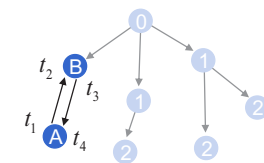


## Time-sync Protocol for Sensor Networks (TPSN)

$$t_2 = t_1 + S_A + A_A + P_{A,B} + R_B$$

$$t_4 = t_3 + S_B + A_B + P_{B,A} + R_A$$

$$\theta = \frac{(S_A - S_B) + (A_A - A_B) + (P_{A,B} - P_{B,A}) + (R_B - R_A)}{2}$$



- Time stamping packets at the MAC layer
- In contrast to RBS, the signal propagation time might be negligible
- Authors claim that it is “about two times” better than RBS
- Again, clock drifts are taken into account using periodical synchronization messages

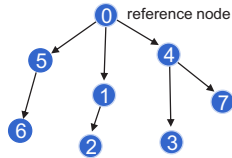


- Problem: What happens in a non-tree topology (e.g. **grid**)?
  - Two neighbors may have bad synchronization?



## Flooding Time Synchronization Protocol (FTSP)

- Each node maintains both a local and a global time
- Global time is synchronized to the local time of a reference node
  - Node with the smallest id is elected as the reference node
- Reference time is flooded through the network periodically

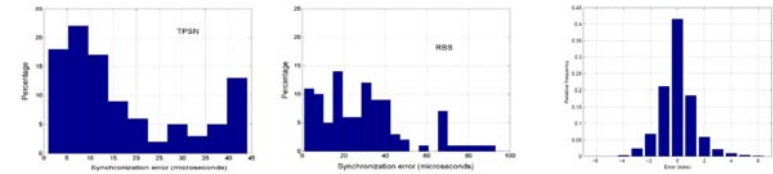


- Timestamping at the MAC Layer is used to compensate for deterministic message delays
- Compensation for clock drift between synchronization messages using a linear regression table



## From single-hop to multi-hop

- Many protocols don't even handle single-hop clock synchronization well. On the left figures we see the absolute synchronization errors of TPSN and RBS, respectively. The figure on the right presents a single-hop synchronization protocol minimizing systematic errors.



- Even perfectly **symmetric** errors will sum up over multiple hops.
  - In a chain of  $n$  nodes with a standard deviation  $\sigma$  on each hop, the expected error between head and tail of the chain is in the order of  $\sigma\sqrt{n}$ .

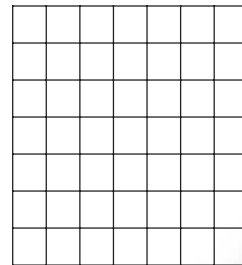


## Best tree for tree-based clock synchronization?

- Finding a good tree for clock synchronization is a tough problem
  - Spanning tree with small (maximum or average) stretch.



- Example: Grid network, with  $n = m^2$  nodes.



- No matter what tree you use, the maximum stretch of the spanning tree will always be at least  $m$  (just try on the grid figure right...)

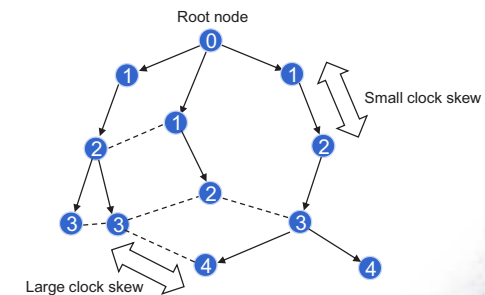
- In general, finding the **minimum max stretch spanning tree** is a hard problem, however approximation algorithms exist [Emek, Peleg, 2004].






## Local/Gradient Clock Synchronization

1. **Global** property: Minimize clock skew between any two nodes
2. **Local** (“gradient”) property: Small clock skew between two nodes if the distance between the nodes is small.
3. Clock should **not** be allowed to **jump backwards**
  - You don't want new events to be registered earlier than older events.

- Example:



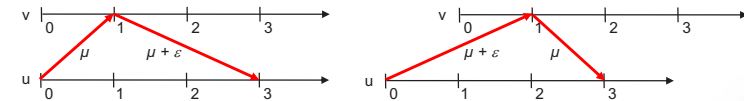
## Trivial Solution: Let $t = 0$ at all nodes and times

1. Global property: Minimize clock skew between any two nodes 
  2. Local (gradient) property: Small clock skew between two nodes if the distance between the nodes is small. 
  3. Clock should not be allowed to jump backwards 
- To prevent trivial solution, we need a fourth constraint:
4. Clock should always move forward.
    - Sometimes faster, sometimes slower is OK.
    - But there should be a minimum and a maximum speed.



## Theoretical Bounds for Clock Synchronization

- Network Model:
  - Each node  $i$  has a local clock  $L_i(t)$
  - Network with  $n$  nodes, diameter  $D$ .
  - Reliable point-to-point communication with minimal delay  $\mu$
  - Jitter  $\epsilon$  is the uncertainty in message delay
- Two neighboring nodes  $u, v$  cannot distinguish whether message is faster from  $u$  to  $v$  and slower from  $v$  to  $u$ , or vice versa. Hence clocks of neighboring nodes can be up to  $\epsilon$  off.

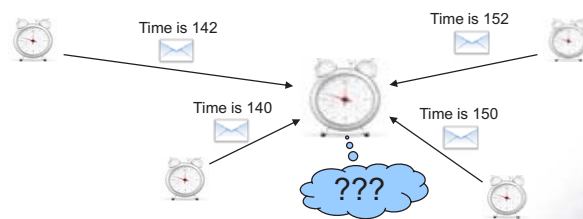


- Hence, two nodes at distance  $D$  may have clocks which are  $\epsilon D$  off.
- This can be achieved by a simple **flooding** algorithm: Whenever a node receives a new minimum value, it sets its clock to the new value and forwards its new clock value to all its neighbors.



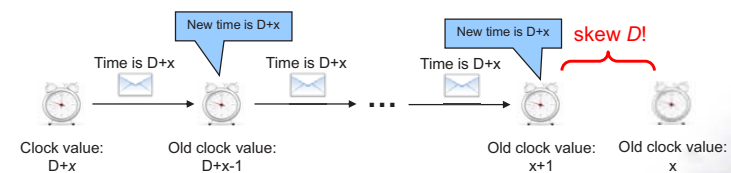
## Local/Gradient Clock Synchronization

- Model
  - Each node has a hardware clock  $H_i(\cdot)$  with a clock rate  $h_i(t)$  such that  $(1-\epsilon)t \leq h_i(t) \leq (1+\epsilon)t$
  - The hardware clock of node  $i$  at time  $t$  is  $H_i(t) = \int_0^t h_i(t) dt$
  - Each node has a logical clock  $L_i(\cdot)$  which increases at the rate of  $H_i(\cdot)$
  - Employ a synchronization algorithm  $A$  to update the logical clock using the hardware clock and neighboring messages
  - The message transmission delay is in  $(0, 1]$



## Synchronization Algorithms: $A^{\max}$

- Question: How to update the logical clock based on the messages from the neighbors?
- Idea: Minimizing the skew to the **fastest** neighbor
  - Set the clock to the maximum clock value received from any neighbor (if greater than local clock value)
- Poor local property: Fast propagation of the largest clock value could lead to a large skew between two neighboring nodes
  - First all messages take 1 time unit, then we have a fast message!



## Synchronization Algorithms: $A^{max}$

- The problem of  $A^{max}$  is that the clock is always increased to the maximum value
- Idea: Allow a constant slack  $\gamma$  between the maximum neighbor clock value and the own clock value
- The algorithm  $A^{max}$  sets the local clock value  $L_i(t)$  to

$$L_i(t) := \max(L_i(t), \max_{j \in N_i} L_j(t) - \gamma)$$

→ Worst-case clock skew between two neighboring nodes is still  $\Theta(D)$  independent of the choice of  $\gamma$ !

- How can we do better?
  - Adjust logical clock speeds to catch up with fastest node (i.e. **no jump**)?
  - Idea: Take the clock of all neighbors into account by choosing the **average** value?

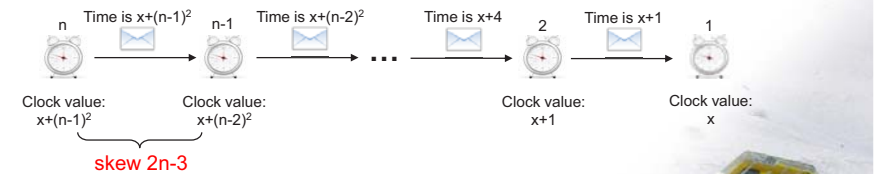


## Synchronization Algorithms: $A^{avg}$

- $A^{avg}$  sets the local clock to the average value of all neighbors:

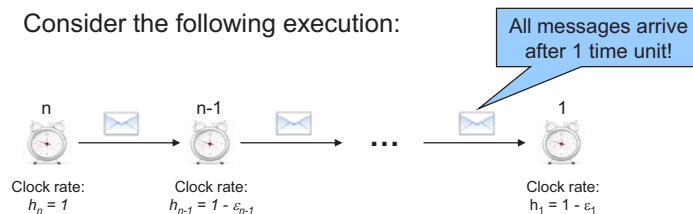
$$L_i(t) := \max(L_i(t), \frac{1}{|N_i|} \sum_{j \in N_i} L_j(t))$$

- Surprisingly, this algorithm is **even worse!**
- We will now show that in a very natural execution of this algorithm, the clock skew becomes really large!



## Synchronization Algorithms: $A^{avg}$

- Consider the following execution:



- All  $\epsilon_i$  for  $i \in \{1, \dots, n-1\}$  are arbitrary values with  $\epsilon_i > 0$ .
- The clock rates can be viewed as *relative* rates compared to the fastest node  $n$ . We will show:

**Theorem:** In the given execution, the largest skew between neighbors is  $2n-3 \in \Theta(D)$ . Hence, the global skew is  $\Theta(D^2)$ .

## Synchronization Algorithms: $A^{avg}$

We first prove two lemmas:

**Lemma 1:** In this execution it holds that  $\forall t, \forall i \in \{2, \dots, n\}$ :  $L_i(t) - L_{i-1}(t) \leq 2i - 3$ , independent of the choices of  $\epsilon_i > 0$ .

**Proof:**

Define  $\Delta L_i(t) := L_i(t) - L_i(t-1)$ . It holds that  $\forall t \forall i: \Delta L_i(t) \leq 1$ .

$L_1(t) = L_2(t-1)$ , because node 1 has only one neighbor (node 2).

Since  $\Delta L_2(t) \leq 1$  for all  $t$ , we know that  $L_2(t) - L_1(t) \leq 1$  for all  $t$ .

Assume now that it holds for  $\forall t, \forall j \leq i: L_j(t) - L_{j-1}(t) \leq 2j - 3$ .

We prove a bound on the skew between node  $i$  and  $i+1$ :

For  $t = 0$  it is trivially true that  $L_{i+1}(t) - L_i(t) \leq 2(i+1) - 3$ ,

since all clocks start with the same time.

## Synchronization Algorithms: $A^{avg}$

- Assume that it holds for all  $t' \leq t$ . For  $t+1$  we have that

$$\begin{aligned} L_i(t+1) &\geq \frac{L_{i+1}(t) + L_{i-1}(t)}{2} \\ &\geq \frac{L_{i+1}(t) + L_i(t) - (2i-3)}{2} \\ &\geq \frac{L_{i+1}(t) + L_i(t+1) - 1 - (2i-3)}{2} \\ &\geq L_{i+1}(t+1) - (2(i+1) - 3). \end{aligned}$$

- The first inequality holds because the logical clock value is always at least the average value of its neighbors.
- The second inequality follows by induction.
- The third and fourth inequalities hold because  $\Delta L_i(t) \leq 1$ .



## Synchronization Algorithms: $A^{avg}$

**Lemma 2:**  $\forall i \in \{1, \dots, n\}: \lim_{t \rightarrow \infty} \Delta L_i(t) = 1$ .

**Proof:**

- Assume  $\Delta L_{n-1}(t)$  does not converge to 1.
- Argument for simple case:
  - $\exists \varepsilon > 0$  such that  $\forall t: \Delta L_{n-1}(t) \leq 1 - \varepsilon$ .
  - As  $\Delta L_n(t)$  is always 1, if there is such an  $\varepsilon$ , then  $\lim_{t \rightarrow \infty} L_n(t) - L_{n-1}(t) = \infty$ , a contradiction to Lemma 1.
- A bit more elaborate argument:
  - $\Delta L_{n-1}(t) = 1$  only for some  $t$ , then there is an unbounded number of times  $t'$  where  $\Delta L_{n-1}(t) < 1$ , which also implies that  $\lim_{t \rightarrow \infty} L_n(t) - L_{n-1}(t) = \infty$ , again contradicting Lemma 1.
  - Again,  $\lim_{t \rightarrow \infty} \Delta L_{n-1}(t) = 1$ .
- Applying the same argument to the other nodes, it follows inductively that  $\forall i \in \{1, \dots, n\}: \lim_{t \rightarrow \infty} \Delta L_i(t) = 1$ .



## Synchronization Algorithms: $A^{avg}$

**Theorem:** The skew between neighbors  $i$  and  $i-1$  converges to  $2i-3$ .

**Proof:**

- We show that  $\forall i \in \{2, \dots, n\}: \lim_{t \rightarrow \infty} L_i(t) - L_{i-1}(t) = 2i - 3$ .
- According to Lemma 2, it holds that  $\lim_{t \rightarrow \infty} L_2(t) - L_1(t) = \Delta L_1(t) = 1$ .
- Assume by induction that  $\forall j \leq i: \lim_{t \rightarrow \infty} L_j(t) - L_{j-1}(t) = 2j - 3$ .
- According to Lemmas 1 & 2,  $\lim_{t \rightarrow \infty} L_{i+1}(t) - L_i(t) = Q$  for a value  $Q \leq 2(i+1) - 3$ . If (for the sake of contradiction)  $Q < 2(i+1) - 3$ , then

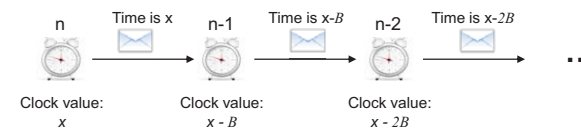
$$\begin{aligned} \lim_{t \rightarrow \infty} L_i(t) &= \lim_{t \rightarrow \infty} \frac{L_{i-1}(t-1) + L_{i+1}(t-1)}{2} \\ &= \lim_{t \rightarrow \infty} \frac{2L_i(t-1) - (2i-3) + Q}{2} \end{aligned}$$

and thus  $\lim_{t \rightarrow \infty} \Delta L_i(t) < 1$ , a contradiction to Lemma 2.



## Synchronization Algorithms: $A^{bound}$

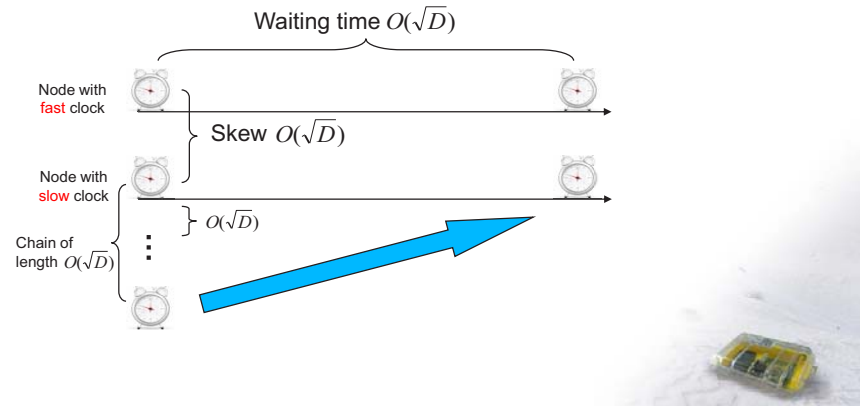
- Idea: Minimize the skew to the **slowest** neighbor
  - Update the local clock to the maximum value of all neighbors as long as no neighboring node's clock is more than  $B$  behind.
- Gives the slowest node time to catch up
- Problem: Chain of dependency
  - Node  $n-1$  waits for node  $n-2$ , node  $n-2$  waits for node  $n-3$ , ...
  - Chain of length  $\Theta(n) = \Theta(D)$  results in  $\Theta(D)$  waiting time
  - $\rightarrow \Theta(D)$  skew!





## Synchronization Algorithms: $A^{root}$

- How long should we wait for a slower node to catch up?
  - Do it smarter: Set  $B = O(\sqrt{D}) \rightarrow$  skew is allowed to be  $O(\sqrt{D})$   
 $\rightarrow$  waiting time is at most  $O(D/B) = O(\sqrt{D})$  as well



## Synchronization Algorithms: $A^{root}$

- When a message is received, execute the following steps:

```

max := Maximum clock value of all neighboring nodes
min := Minimum clock value of all neighboring nodes

if (max > own clock and min + U*sqrt(D+1) > own clock
    own clock := min(max, min + U*sqrt(D+1))
    inform all neighboring nodes about new clock value
end if
    
```

- This algorithm guarantees that the worst-case clock skew between neighbors is bounded by  $O(\sqrt{D})$ .

## Some Results

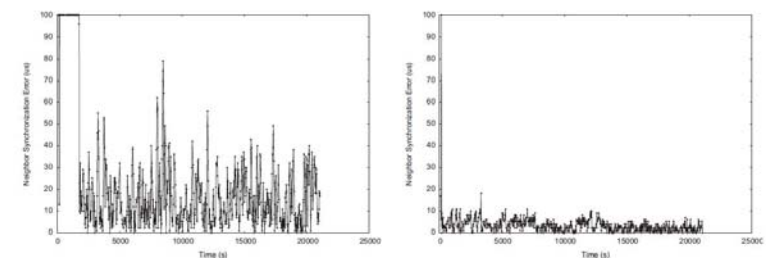
- All natural/proposed clock synchronization algorithms seem to fail horribly, having at least **square-root skew** between neighbor nodes.
- Indeed [Fan, Lynch, PODC 2004] show that when logical clocks need to obey minimum/maximum speed rules, the skew of two neighboring clocks can be up to  $\Omega(\log D / \log \log D)$ , where  $D$  is the diameter of the network.
- Nice open problem...? Unfortunately not! In 2008 a  $O(\log D)$  clock skew algorithm was presented at [Lenzen et al., FOCS 2008]. Also, the lower bound seems to be  $\Omega(\log D)$ ...

## Theory vs. Practice

- Can these theoretical findings be applied to practice?
  - Do the theoretical models represent reality?
- Example: Experimental evaluation on a ring topology



- Results: Synchronization error between Node 8 and Node 15
  - Tree-based synchronization (FTSP, left) leads to a larger error than a simple **gradient clock synchronization algorithm** (right)



## Open Problem

---

- As listed on slide 9/6, clock synchronization has lots of parameters. Some of them (like local/gradient) clock synchronization have only started to be understood.
- **Local clock synchronization** in combination with other parameters are not understood well, e.g.
  - accuracy vs. convergence
  - fault-tolerance in case some clocks are misbehaving [Byzantine]
  - clock synchronization in dynamic networks

